Ethical Issue Form

Max Weber Centre
for Advanced Cultural and Social Studies
MWK-FELLOWS Programme

Please complete the Ethical Issue Table below, even if you believe that there are no ethical issues. Consider that ethical issues arise in many research areas. Apart from the obvious, the medical field, research protocols, e.g. in social sciences, might involve the voluntary participation of research subjects and the collection of data that might be considered as personal. You must protect your volunteers and also protect yourself (and your researcher colleagues). **IMPORTANT:** All ethical issues raised by a proposed project (ticked *Yes*-boxes) must be described in the second section, the ethics self-assessment. You should explain how the raised ethical issues will be addressed, so as to conform with national and HORIZON 2020 ethical principles.

- General information about ethics in Horizon 2020 is available at: http://ec.europa.eu/programmes/horizon2020/en/h2020-section/ethics
- Detailed information is provided in the HORIZON 2020 guideline "How to complete your ethics Self-Assessment": http://ec.europa.eu/research/participants/portal/doc/call/h2020/h2020-msca-if-2015/1645175-h2020_-_guidance_ethics_self_assess_en.pdf

Name of applicant:

E-Mail address:

Title of the proposed research project:

Ethical Issue Table

Please fill in the ethical issue table by ticking either the *Yes*-box or the *No*-box for each question. Please note that if you answer *Yes* to any of the questions below, you are requested to provide additional information.

Research on Humans	**Yes**	**No**
1. Does your research involve human participants?	☐	☐
a. Are they volunteers for social or human sciences research?	☐	☐
b. Are they vulnerable individuals or groups?	☐	☐
c. Are they children/minors?	☐	☐
d. Are they patients?	☐	☐
e. Are they healthy volunteers (e.g. for medical studies)?	☐	☐
2. Does your research involve physical interventions on the study participants?	☐	☐
a. Does it involve invasive techniques?	☐	☐
b. Does it involve collection of biological samples?	☐	☐

Privacy Issues		
3. Does your research involve personal data collection and/or processing?	☐	☐
a. Does it involve the collection and/or processing of sensitive personal data (e.g.: health, sexual lifestyle, ethnicity, political opinion, religious or philosophical conviction)?	☐	☐
b. Does it involve processing of genetic information?	☐	☐
c. Does it involve tracking or observation of participants?	☐	☐
Third Countries		
4. Does your research involve non-EU countries?	☐	☐
5. Do you plan to use local resources (e.g. animal and/or human tissue samples, genetic material, live animals, human remains, materials of historical value, endangered fauna or flora samples, etc.)?	☐	☐
6. Do you plan to import any material from non-EU countries into the EU?	☐	☐
7. Do you plan to export any material from the EU to non-EU countries?	☐	☐
8. If your research involves low and/or lower middle income countries, are benefits-sharing measures foreseen?	☐	☐
9. Could the situation in the country put the individuals taking part in the research at risk?	☐	☐
Environment, Health and Safety		
10. Does your research involve the use of elements that may cause harm to the environment, to animals or plants?	☐	☐
11. Does your research deal with endangered fauna and/or flora and/or protected areas?	☐	☐
12. Does your research involve the use of elements that may cause harm to humans, including research staff?	☐	☐
Dual Use	☐	☐
13. Does your research have the potential for military applications?	☐	☐
Misuse		
14. Does your research have the potential for malevolent/criminal/terrorist abuse?	☐	☐
Other Ethics Issues		
15. Are there any other ethics issues that should be taken into consideration? If yes, please specify:	☐	☐

Ethics Self-Assessment

If your answer was *Yes* to one or more questions in the Ethical Issue Table (above), you **must** elaborate how you plan to cope with this/these issue(s).

Please note down in the following field at first the **number of the question(s)** you ticked in the Ethical Issue Table and then provide **information on how you address the mentioned ethical issue**. In addition, you can (if applicable) refer to the corresponding page of your research proposal.

Declaration

☐ (Please tick the box if you agree to the declaration!)

I hereby declare that I will adhere to the standards of good scientific practice set by the University of Erfurt and the German Research Council. Furthermore, I declare that I will deal with ethical issues and data protection according to the national legislation (BDSG), the legislation of Thuringia (ThürDSG), the European legislation (GDPR) and the guidelines set by the German Research Foundation (DFG).

- Code of Ethics of the University of Erfurt (Ethikkodex der Universität Erfurt): https://www.uni-erfurt.de/fileadmin/user-docs/forschung/docs/ethikkodex.pdf
- Rules of Good Scientific Practice proposed be the German Research Foundation (DFG): http://www.dfg.de/en/research_funding/principles_dfg_funding/good_scientific_practice/
- Federal Data Protection Act (BDSG): http://www.gesetze-im-internet.de/englisch_bdsg/index.html
- Thuringian Data Protection Act (ThürDSG): https://www.tlfdi.de/imperia/md/content/datenschutz/gesetze/neubekanntmachung_thuerdsg.pdf

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(Date and signature)

Please print this document (incl. Ethical Issue Table, Ethics Self-Assessment, and Declaration), sign it, scan it and upload it as one pdf-file in the online application portal.